

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): D. Lambert et al.
Case: 10-1
Serial No.: To Be Assigned
Filing Date: March 26, 2004
Title: Method and Apparatus for Event Monitoring
in an Information Processing System
Group: To Be Assigned
Examiner: To Be Assigned

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

1. R.A. Maxion et al., "A Case Study of Ethernet Anomalies in a Distributed Computing Environment," IEEE Transactions on Reliability, Vol. 39, No. 4, pp. 433-443, October 1990.
2. F. Feather et al., "Fault Detection in an Ethernet Network Using Anomaly Signature Matching," Proceedings of SIGCOMM '93, Ithaca, New York, pp. 279-288, September 1993.
3. M. Thottan et al., "Proactive Anomaly Detection Using Distributed Intelligent Agents," IEEE Network, pp. 21-27, September/October 1998.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,



Date: March 26, 2004

Joseph B. Ryan
Reg. No. 37,922
Attorney for Applicant(s)
Ryan, Mason & Lewis, LLP
90 Forest Avenue
Locust Valley, NY 11560
(516) 759-7517

FORM PTO-1449 (MODIFIED)

Applicant(s): D. Lambert et al.
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Serial No.: TBA
Filing Date: March 26, 2004
Group: TBA

**LIST OF PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**

U.S. PATENT DOCUMENTS

EXAMINER				FILING DATE
INITIAL	DOCUMENT NO.	DATE	NAME	IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER				TRANSLATION	
INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	YES NO

OTHER DOCUMENTS

EXAMINER		
INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

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- 1. R.A. Maxion et al., "A Case Study of Ethernet Anomalies in a Distributed Computing Environment," IEEE Transactions on Reliability, Vol. 39, No. 4, pp. 433-443, October 1990.
 - 2. F. Feather et al., "Fault Detection in an Ethernet Network Using Anomaly Signature Matching," Proceedings of SIGCOMM '93, Ithaca, New York, pp. 279-288, September 1993.
 - 3. M. Thottan et al., "Proactive Anomaly Detection Using Distributed Intelligent Agents," IEEE Network, pp. 21-27, September/October 1998.

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.